

A&S Committee report – November 2023

Appendix C – FTP Risk Register

Below is the extract from the current Risk register in use in the Future Tandridge programme including the latest mitigation action updates, including new risks added since the last committee update.

| Risk | Topic | Risk description | Original score | Mitigated approach | Actions taken | Mitigation Status | Mitigated score | Owner |
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| 1 | Organisation Development | The Council will lose key staff that it would prefer to retain resulting in a further deficit of capability and capacity to deliver the Councils priorities. | 12 | <p>Consider ways of retaining key staff and formulate a plan to prevent/reduce the loss of these key members of staff during the transition, this relates to the Organisational Development/change management stream of work in the FTP.</p> <p>Establish fast track training plans for high staff turnover areas that are due to staff progressing to other departments i.e., Customer Services. Introduce more apprenticeships and professional career grades with associated training</p> | <p>Key staff identified and approach to be developed HR/EMT. Key officer forum set up and meeting regularly. EMT developing a plan to address potential loss of these key staff during the transition. KOF working with EMT on improvement projects.</p> <p>Review of staff turnover and exit interview reasons for leaving.</p> | In prog | 9 | David Ford - TOMDG |

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| | | | | and development plans. | | | | |
| 2 | Stakeholder engagement | Members are not sufficiently engaged and/or will not support key issues which emerge from the FTP. | 12 | Engage Committee Chairs in service review emerging proposals. | Early engagement with Leader & S&R Chair / Vice Chair to seek feedback and answer questions in preparation for committee meetings. | Complete | 8 | |
| | | | | Member engagement to be considered in each workstream activity in the FTP. | Prog Team to engage Committee Chairs in service review emerging proposals and consider Member engagement in each workstream activity in the FTP. | Complete | | |

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| | | | | Based on the approach used in Tandridge Finance Transformation to member engagement, Identify representative group of members to be used for early engagement throughout the duration of the programme. | A Member reference Group has now been created consisting of a small group of members (agreed with the leader of the council). Since set up in October 2022, this group has attended focused briefings on specific areas of interest such as Digital/Customer services and Assets and FM and fortnightly meetings are set to continue. All member briefings will continue to be held on specific areas of interest and in advance of committee updates where required. | Complete (with established engagement ongoing) | | |
| 10 | Scope Management | Changes such as movement of functions across the Council, will be made without consultation with the TOM group which will impact on the planned changes. This would potentially weaken areas of service delivery internally or in | 16 | Agree and publish scope - reinforce change review group and change log. Change requests to be raised for all additional pieces of work and changes | Any proposed changes such as Team restructures are raised as Change requests by Heads of Service and reviewed by the TOMDG. | In prog | 8 | David Ford EMT |

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| | | the event of outsource opportunities. | | that impact on agreed scope at committee. | | | | |
| 14 | Savings 2023/24 | Service Reviews will not deliver the budget savings identified in 2023/24 | 16 | <p>Create delivery plan for each service area, whether part of the service improvement workstream, or Digital/Customers, Operations transformation and ensure that all milestones that relate to savings are clearly identified.</p> <p>Monitor plans and milestones closely in weekly meetings and monthly highlight reports, where a risk is arising, this is escalated to the project/programme board to assess actions to mitigate.</p> | <p>Delivery managers to be recruited into the Digital/Customer Services and Operational Services workstreams. Each will create and manage a plan to deliver the savings. Other service reviews will be monitored by the programme project manager and PMO.</p> <p>Weekly 1-2-1 meetings with heads of service and/or delivery lead to ensure that the plan is in place and credible and is being followed and that any milestones at risk are addressed</p> | <p>Complete</p> <p>In prog</p> | 12 | <p>Mark Hak-Sanders</p> <p>Prog Delivery & benefits board</p> |

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| | | | | | promptly to prevent slippage. | | | |
| | | | | Set up programme delivery and benefits board review savings milestones and where amber/red agree mitigation and next steps and assign ownership. | Each service to create a plan, with key milestones, which is collated for the programme plan. Fortnightly EMT delivery meetings held where exceptions and risks are discussed with the objective of resolving blockers to achieve the savings. | In prog | | |
| 16 | Resources | Timelines will be missed if BAU issues require resolution and there is an assumption that programme resources will be used to fix the issues | 15 | Each service to set out savings delivery plan, including key milestones and dependencies, which will form the collated programme plan. | Service review updates and milestones have been populated by Heads of Service/Stream leads. Planning meetings are being held with heads of service to ensure information is captured consistently to enable dependencies/slippages to be trackable. | In prog | 8 | Mark Hak-Sanders Prog Delivery & benefits board |

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| | | | | <p>Individual plans to be baselined within the programme and used as drivers for savings delivery by the EMT / stream leads and Finance/Benefits Programme board. All risks to delivery identified by the plan owners are escalated to the Programme delivery board.</p> | <p>Individual plans are to be collated at programme level. Regular EMT delivery board meetings held where all savings at risk are raised and collectively resolved.</p> | Complete | | |
| | | | | <p>All plans will include resource requirements to ensure that where BAU/external resources are required, the demand is clear. Where resources are redirected at BAU, plans will need to be adjusted to show the revised schedule. If BAU resources are not sufficiently available, backfilling of roles will need to</p> | <p>Key external resources are identified and secured as early as possible, for example, expert advice on Operations workstream.</p> | Complete | | |

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| | | | | be considered to free up time. | | | | |
| 19 | People Plan | Organisation Development workstream will not progress as scoped and therefore Tandridge will not be ready for embedding the Transformed services and the new culture. | 16 | <p>An OD / change mgr needs to be put in place to ensure new ways of working/behaviours, such as Digital/ commissioning/continuous improvement are embedded properly across the whole council. Without this, there is a risk that after the programme ends, behaviour will revert to 'old ways' and future benefits/efficiencies will not be met.</p> <p>Recruit an Organisation Development expert to create a delivery plan to embed the changes needed in behaviour to adopt the changes being</p> | <p>November 2023 Agreement internally to recruit a joint role with RBBC.</p> <p>August 2023 Recruitment is in progress but is slow, looking at an interim resource, approaching specialist agencies for this role. Recruit an organisation development and change management specialist, Plan the adoption of systems throughout the organisation, looking at the future model for services and the Council.</p> | In prog | 16 | <p>Mark Hak-Sanders</p> <p>Prog & Benefits delivery board</p> |

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| | | | | <p>introduced across the council.</p> <p>Work required to review Target operating model for the services / organisation - consider what this looks like - part of 24/25 savings work.</p> <p>Review objectives including behaviours, ensure behaviours rolled out to all staff as well as EMT.</p> <p>Instigate KOF level - accountabilities and responsibilities</p> | | | | |

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| 20 | Digital Transformation | Progress in the Digital/customer services workstream will be slow/unsuccessful which will have a detrimental effect on delivering the business case and planning and delivery of savings and benefits | 16 | <p>Ensure there is an achievable plan - understand resource requirements up front, monitor progress.</p> <p>Detailed plan required, with regular progress reporting against plan, with key milestones flagged and RAG rated.</p> <p>Ensure scope pinned down in PID, any potential additions to scope need consideration via change control.</p> | <p>November 2023 Review of wider Digital Transformation programme in progress to understand scope and resource requirements.</p> <p>July 2023 Dependencies have been identified, programming the planning and resourcing of these activities. Planning in progress - plan with key milestones required, Monthly highlight reporting and fortnightly project board will monitor progress, spend and risks.</p> | In prog | 16 | <p>Mark Hak-Sanders</p> <p>Prog & Benefits delivered board</p> |

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| 21 | Digital Transformation | There will be a delay in delivering Digital Transformation due to dependencies out of scope of the project, such as data cleansing, chatbot knowledge data build | 16 | <p>Analysis on all dependencies to understand resources/timings etc, needed for Digital:</p> <p>Review and plan out any key dependencies not included in scope of Digital to ensure clarity on timeline from Digital lead.</p> | <p>TOM review/discussion on in scope/out of scope for Digital held on 19th July, further discussion 8th August.</p> <p>Confirm priorities for Digital Transformation or TDC generally at that meeting.</p> <p>Knowledge scripts required for Chatbot - Customer Services scripts to be reviewed for this purpose.</p> <p>Business Analyst to progress the data cleansing project, to understand objective, scope and create a plan.</p> <p>Revised resource requirements of Digital workstream to be reviewed with Digital PM.</p> | In prog | 16 | <p>Mark Hak-Sanders</p> <p>Prog & Benefits delivery board</p> |
| 23 | Savings 2024/25 | 24/25 savings plans created by HoS will not be sufficient to achieve forecast savings if further pressures are identified. | 16 | Key discussions with budget owners/EMT to agree source of savings and follow up on progress and push forwards. Focus on | November 2023 - savings targets agreed and shared with MRG and an all member draft budget report | In prog | 12 | <p>Mark Hak-Sanders</p> <p>Prog & Benefits</p> |

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| | | | <p>this for the EMT FTP meetings.</p> <p>Driven by FTP team to support, look at skillsets and external support on delivery model and commercial ideas.</p> <p>Ask key questions to push Heads of Service ideas forward.</p> <p>Identify as far as possible all potential pressures across all services.</p> | <p>workshop on 31st October.</p> <p>Savings approach split into five pillars, with heads of service to identify savings and where this fits into the five.</p> <p>Details shared in member workshops and included in September 2023 committee papers.</p> | | | <p>delivery board</p> |
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New Risks raised since last committee paper

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| 24 | Operations - Grounds Maintenance | There is a risk associated with timescales to deliver the Grounds Maintenance plan since the implementation date cannot be delayed. July 2024 has been agreed as a go/no-go decision point at which point costs should be clear and next steps will be agreed, however this does not leave much time for contingency/overruns and implementing of an alternative model if needed. | 16 | <p>Review whether any of the tasks can be run in parallel or shortened leading up to July.</p> <p>Ensure timelines provided by Operations, Legal and Procurement team are reviewed when developing the overall delivery plan.</p> <p>If costs are higher than expected, alternative approach on service specifications may need to be considered.</p> | <p>The plan has been reviewed and there are no tasks that can be run in parallel or delivered by other resources.</p> <p>Planning in progress with Legal and Procurement teams to understand timings and dependencies.</p> <p>Ensure the plan is reviewed and monitored and potential overruns/delays recognised and mitigated against as early as possible.</p> | In prog | 16 | <p>Simon Mander</p> <p>Prog & Benefits delivery board</p> |
| 25 | Resources | There is a risk that it will not be possible to recruit a Transformation director resource in the required timeframes. Without this role there is a risk that robust development, scrutiny and challenge of the next phase of organisational change over the | 16 | <p>Advertise the role in the appropriate publications, such as the MJ (Management journal) for maximum exposure.</p> <p>Ensure that the role is advertised on suitable publications</p> | <p>Job advertised in MJ and usual recruitment channels in early November, with three-week run for the advert.</p> | | 12 | <p>Mark Hak-Sanders</p> <p>TOMDG</p> |

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| | | medium-term will not be developed. | | such as the MJ and forums such as LinkedIn are cascaded to people's professional networks. | | | | |
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